

(For client)

Request Form for Account Opening / Credit Limit Increase / Credit Transfer

Date:

I, (Mr./Ms./Mrs./Company)

(Please place a check mark ✓ in the box ☐ to your preferred option)

☐ New client ☐ Existing client: Account Number

Request to ☐ Open New Account (for new client) ☐ Open Additional Account (for existing client)

Account Type	Requested Credit Limit (THB)	Account Type	Requested Credit Limit (THB)
☐ Cash Account ⁽¹⁾		☐ Cash Balance Account	
☐ Credit Balance Account ⁽¹⁾		☐ Algo Trade (Equity) – Account 1	
☐ TFEX Account ⁽¹⁾		☐ Algo Trade (Equity) – Account 2	
☐ Algo Trade (TFEX Account) ⁽¹⁾		☐ Algo Trade (Equity) – Account 3	
☐ Block Trade (SSF Block Trade) ⁽¹⁾		☐ Algo Trade (Equity) – Account 4	
☐ Mutual Fund Account		☐ Algo Trade (Equity) – Account 5	
☐ Global Trade			

Request to ☐ Increase Credit Limit ☐ Transfer credit limit between accounts

Account type	Current credit limit (Baht)	New credit limit (Baht)	Transfer credit limit from account
☐ Cash Account ⁽¹⁾			Account No.
☐ Credit Balance Account ⁽¹⁾			Account No.
☐ TFEX Account ⁽¹⁾			Account No.
☐ Algo Trade (TFEX Account) ⁽¹⁾			Account No.
☐ Block Trade (SSF Block Trade) ⁽¹⁾			Account No.
☐ Cash Balance Account			Account No.
☐ Algo Trade (Equity): Account No.			Account No.
☐ Algo Trade (Equity): Account No.			Account No.
☐ Algo Trade (Equity): Account No.			Account No.
☐ Algo Trade (Equity): Account No.			Account No.
☐ Algo Trade (Equity): Account No.			Account No.

In the event that your total exposure to all accounts is equal to or increases to more than 50 million baht or reaches the total exposure level according to the specified criteria, UOB Kay Hian Securities (Thailand) Public Company Limited ("the Company") will have to request verification of your information from other securities company through the Data Exchange Program (SDEP), which is in accordance with the conditions and criteria set by the Association of Thai Securities Companies (ASCO) and approved by the SEC (Details as per the note below)⁽¹⁾

Client

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(For company)

(In the event that the total exposure of all accounts of the client is equal to or increases to more than 50 million baht or reaches the total exposure level according to the specified criteria)⁽¹⁾

I, (Mr./Ms./Mrs.)..... (Department/Team/Branch).....

as an investment consultant of the company, have informed the client about the condition under which the company must request verification of client information from other securities firms through the Data Exchange Program (SDEP) due to account opening, requesting an increase in credit limit, or transferring credit limit between accounts, which is in accordance with the conditions and criteria set by set by the Association of Thai Securities Companies (ASCO) and approved by the SEC (Details as per the note)⁽¹⁾

Investment Consultant

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Note : ⁽¹⁾ "SDEP" refers to the information exchange system developed by the information exchange service provider to facilitate participating members in the data exchange program in verifying client information with other members, as well as disclosing client information to other members in accordance with the prescribed rules and criteria.

⁽²⁾ Total Exposure of all account types according to the specified criteria means Cash account, Credit Balance account, Futures Trading account (TFEX), and Securities Borrowing and Lending account (SBL). However, opening account, increasing the limit, or transferring the limit between accounts that causes the total exposure of all account types to increase or reach 50 million baht, 100 million baht, 150 million baht, and 200 million baht, including cases where the total exposure of all account types is more than 200 million baht and increases or reaches 300 million baht, 400 million baht, 500 million baht, 600 million baht, and so on, falls under the category in which the Company must verify client information from other securities companies through the Data Exchange Program (SDEP) under the criteria of the Capital Market Supervisory Board Announcement No.ThorTor 35/2013, Clause 37/1, and the Association of Thai Securities Companies Announcement No.KorSor 1/2026, regarding the verification of client information from other business operators.